Daniel Roberts, MSFS
Financial Advisor

# Biographical Profile

## Daniel Roberts, MSFS

Mr. Daniel Roberts entered the financial services industry in 1969 and has operated an independent financial services practice for more than 35 years. He has provided consultation services to: individuals, businesses, corporations, and other financial services professionals.

### Licenses

General Securities Principal (Series 24)
Investment Company & Variable Contracts
Products Representative (Series 6)
Variable Contracts
Life Agent
General Securities Registered Representative (Series 7)
Direct Participation Program Representative (Series 22)
Uniform Securities Agent State Law Examination (Series 63)
Agent Fire and Causality Broker - Agent
Registered Investment Advisor (Sep 1983 – Dec 1993)

### Designations

Master of Science in Financial Services
Certified Investment Specialist
Registered Financial Consultant
Certified Private Post-Secondary Education Instructor

### Presentations

Guest Expert Lecturer for the University of Southern California, College for Financial Planning
Guest Lecturer on "Real Estate Securities" for University of California, Riverside
Financial Management Presenter for Chaffey and Riverside Community Colleges, Community Services Programs
Roundtable Moderator at the Southern California Financial Planning Conference
California Department of Insurance Continuing Education Classes
Financial Planning Guest Instructor, local private junior high school
Talks before various clubs and service organizations
Public seminars and workshops

### Education

Bachelor of Science Degree, San Jose State University, San Jose, CA, Business Administration, Risk and Insurance
Master of Science Degree, The American College, Bryn Mawr, PA, Financial Services
Thesis "Reducing Investment Risk and Increasing Returns Through Portfolio Diversification".
He was a recipient of the annual Institute of Insurance Studies Award for academic excellence.
Earned advanced certifications:

Economic Security and Individual Life Insurance
Group Insurance and Social Insurance
Investments and Family Financial Management
Financial Counseling
Employee Benefit Planning
Risk Management of Property and Liability Exposures
Personal Tax Planning
Financial Institutions
Business Tax Planning
Advanced Estate Planning
Managing the Financial Services Enterprise
Communication and Research
Financial Statement Analysis
Security Analysis and Portfolio Management
Ethics and Human Values

### Professional Awards and Honors

Listed in Who's Who in Finance and Industry, 23rd Edition
Featured Financial Planning Expert for USA Today, "Your Money Plan"
Investment Columnist for "Corona This Month" Magazine
Financial Planning Columnist for "Riverside County Today" Magazine
Featured Columnist for "Planning For Your Future" National Magazine
Publisher of "The Canyon Counselor" Newsletter
Founding President of the International Association for Financial Planning, Riverside Chapter
Executive Committee Member for the Southern California Financial Planning Conference
Member of the University of California, Riverside, Financial Planning Advisory Board
Member, Pro-Trac Financial Professional Advisory Board
Financial Planning Member, Planned Giving Committee at a local church
President, Friends of the Corona Public Library
Board Member and Chairman Sustaining Membership Drive-Corona/Norco YMCA
Charter Member and Past President of "The Breakfast Club", a business leads club

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